



Docket No.: 50395-125

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Kenichi KURISU

Serial No.: 09/993,223

Group Art Unit: 1765

Filed: November 26, 2001

Examiner: not yet assigned

For: ETCHING METHOD FOR ZnSe POLYCRYSTALLINE SUBSTRATE

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Washington, DC 20231

Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. It is noted that the Total Claims list on the filing receipt is incorrect. Attached is a copy of the Transmittal Letter, which evidences that the total claims should read as:

12

It is requested that a corrected filing receipt be issued.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

Arthur J. Steiner
Registration No. 26,106

600 13th Street, N.W.
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(202) 756-8000 AIS:jgh
Date: December 29, 2001
Facsimile: (202) 756-8087



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20503
WWW.USPTO.GOV

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/993,223	11/26/2001	1765	1020	50395-125	9	6	2

McDERMOTT, WILL & EMERY
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Washington, DC 20005-3096



CONFIRMATION NO. 6863

FILING RECEIPT



OC000000007154361

Date Mailed: 12/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kenichi Kurisu, Osaka, JAPAN; ✓

Assignment For Published Patent Application

Sumitomo Electric Industries, Ltd.;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 397640/2000 12/27/2000 ✓

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

Title

Etching method for ZnSe polycrystalline substrate

Preliminary Class

438

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

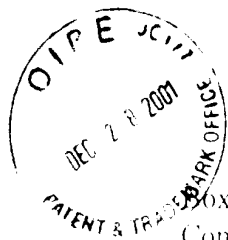
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NOT GRANTED

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UTILITY PATENT APPLICATION UNDER 37 CFR 1.53(b)

Box PATENT APPLICATION

Commissioner for Patents

Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application of:

INVENTOR: Kenichi KURISU

FOR: ETCHING METHOD FOR ZnSe POLYCRYSTALLINE SUBSTRATE

Enclosed are:

- ☒ 11 pages of specification, claims, abstract.
- ☒ Declaration and Power of Attorney.
- ☒ Priority Claimed.
- ☒ Certified copy of Japanese Patent Application Number 2000-397640
- ☒ 9 sheets of formal drawing.
- ☒ An assignment of the invention to Sumitomo Electric Industries, Ltd.
and the assignment recordation fee.
- ☐ An associate power of attorney.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☐ Information Disclosure Statement, Form PTO-1449 and reference.
- ☒ Return Receipt Postcard

The filing fee has been calculated as shown below:

	NO. OF CLAIMS		EXTRA CLAIMS	RATE	AMOUNT
Total Claims	12	-20	0	\$18.00	\$0.00
Independent Claims	2	-3	0	\$84.00	\$0.00
Multiple Dependent Claim(s)					\$280.00
Basic Fee					\$740.00
Total of Above Calculations					\$1,020.00
Less ½ for Small Entity					\$0.00
Assignment & Recording Fee					\$40.00
Total Fee					\$1,060.00



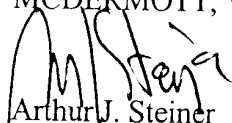
20277

PATENT TRADEMARK OFFICE

- ☒ Please charge my Deposit Account No. 500417 in the amount of \$1,060.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 500417. A duplicate copy is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 500417. A duplicate copy of this sheet is enclosed.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

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Arthur J. Steiner

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20590
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Bib Data Sheet

CONFIRMATION NO. 6863

SERIAL NUMBER 09/993.223	FILING DATE 11/26/2001 RULE	CLASS 438	GROUP ART UNIT 1765	ATTORNEY DOCKET NO. 50395-125
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APPLICANTS

Kenichi Kurisu, Osaka, JAPAN;

**** CONTINUING DATA *********** FOREIGN APPLICATIONS *******

JAPAN 397640/2000 12/27/2000

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY JAPAN	SHEETS DRAWING 9	TOTAL CLAIMS 6	INDEPENDENT CLAIMS 2
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature _____	Initials _____		

ADDRESS

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TITLE

Etching method for ZnSe polycrystalline substrate

FILING FEE RECEIVED 1020	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit